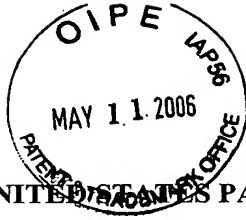


Docket No. 250583US6YA DIV



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Shinya NISHIMOTO, et al.

SERIAL NO: 10/803,994

GAU: 1763

FILED: March 19, 2004

EXAMINER: Rakesh DHINGRA

FOR: METHOD AND APPARATUS FOR AN IMPROVED OPTICAL WINDOW DEPOSITION SHIELD IN A PLASMA PROCESSING SYSTEM

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the reference listed in an Office Action dated December 19, 2005 in Application Serial No. 11/072,448, an Office Action dated March 2, 2006 in Application Serial No. 10/722,602, and on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Steven P. Weihrouch

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Customer Number

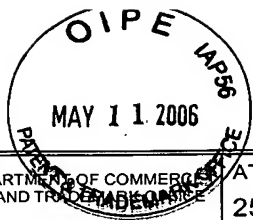
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Edwin D. Garlepp

Registration No. 45,330

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

250583US6YA DIV

SERIAL NO.

10/803,994

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Shinya NISHIMOTO, et al.

FILING DATE

March 19, 2004

GROUP

1763

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,968,377	10-1999	Yuasa et al.			
	AB	2005/0150866	07-2005	O'Donnell	216	067	
	AC	2003/0113479	06-2003	Fakuda et al.	427	569	
	AD	4,357,387	11-1982	George et al.	442	71	
	AE	5,925,228	07-1999	Panitz	204	484	
	AF	5,892,278	07-1999	Horita	257	706	
	AG	6,073,449	06-2000	Watanabe et al.	62	3.2	
	AH	2005/0103275	02-2004	Sasaki et al.			
	AI	4,310,390	01-1982	Bradley et al.			
	AJ	5,955,182	09-1999	Yasuda, et al.			
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	Production drawing for Deposition Shield, Upper believed to be sold in the U.S. on April 12, 2000.
	AX	Production drawing for Deposition Shield believed to be sold in the U.S. prior to September 30, 2001.
	AY	Production drawing for Upper Electrode believed to be sold in the U.S. prior to September 30, 2001.
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.